FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPRO              | OVAL      |
|------------------------|-----------|
| OMB Number:            | 3235-0287 |
| Estimated average burd | len       |
| hours per response:    | 0.5       |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

|  |         |         | 2. Issuer Name and Ticker or Trading Symbol Intellia Therapeutics, Inc. [ NTLA ]  3. Date of Earliest Transaction (Month/Day/Year) 02/01/2021  4. If Amendment, Date of Original Filed (Month/Day/Year)  When the process of the investment Company Act of 1940  5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner  X Officer (give title Other (specify below)  President and CEO  6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person  Person |     |          |           |  |  |  |  |  |
|--|---------|---------|---|-----|----------|-----------|--|--|--|--|--|
| 1. Name and Addres   | . 0     | Person* | · · · · · · · · · · · · · · · · · · ·   |     |          |           |  |  |  |  |  |
| LEONARD J  | OHN M   |         | internal intraposition, inc. [ 111211 ]   | X   | Director | 10% Owner |  |  |  |  |  |
| (Last) C/O INTELLIA 40 ERIE STREE  |         |         | ` ' '   | X   | below)   | below)    |  |  |  |  |  |
| 40 ERIE STREET; SUITE 130  |         |         | 4. If Amendment, Date of Original Filed (Month/Day/Year)  |     |          |           |  |  |  |  |  |
| (Street) CAMBRIDGE   | MA      | 02139   |   | 1 ′ | , ,      | ŭ         |  |  |  |  |  |
| (City)   | (State) | (Zip)   |   |     |          |           |  |  |  |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |         |         |   |     |          |           |  |  |  |  |  |

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr.<br>8) |   | 4. Securities A<br>Disposed Of ( |               |             | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership |
|---------------------------------|--|---|---|---|----------------------------------|---------------|-------------|---|---|--|
|                                 |  |   | Code                                    | v | Amount                           | (A) or<br>(D) | Price       | Transaction(s)<br>(Instr. 3 and 4)  |   | (Instr. 4)                                 |
| Common Stock                    | 02/01/2021                                 |   | M                                       |   | 100,000                          | Α             | \$6.83      | 575,488   | D   |  |
| Common Stock                    | 02/01/2021                                 |   | <b>S</b> <sup>(1)</sup>                 |   | 16,750                           | D             | \$63.32(2)  | 558,738   | D   |  |
| Common Stock                    | 02/01/2021                                 |   | <b>S</b> <sup>(1)</sup>                 |   | 26,058                           | D             | \$64.13(3)  | 532,680   | D   |  |
| Common Stock                    | 02/01/2021                                 |   | <b>S</b> <sup>(1)</sup>                 |   | 8,827                            | D             | \$65(4)     | 523,853   | D   |  |
| Common Stock                    | 02/01/2021                                 |   | M                                       |   | 10,000                           | Α             | \$13.88     | 533,853   | D   |  |
| Common Stock                    | 02/01/2021                                 |   | <b>S</b> <sup>(1)</sup>                 |   | 1,709                            | D             | \$63.24(5)  | 532,144   | D   |  |
| Common Stock                    | 02/01/2021                                 |   | <b>S</b> <sup>(1)</sup>                 |   | 3,497                            | D             | \$64.16(6)  | 528,647   | D   |  |
| Common Stock                    | 02/01/2021                                 |   | S <sup>(1)</sup>                        |   | 693                              | D             | \$65.02(7)  | 527,954   | D   |  |
| Common Stock                    | 02/01/2021                                 |   | М                                       |   | 30,000                           | Α             | \$18.3      | 557,954   | D   |  |
| Common Stock                    | 02/01/2021                                 |   | S <sup>(1)</sup>                        |   | 6,448                            | D             | \$63.29(8)  | 551,506   | D   |  |
| Common Stock                    | 02/01/2021                                 |   | S <sup>(1)</sup>                        |   | 9,432                            | D             | \$64.09(3)  | 542,074   | D   |  |
| Common Stock                    | 02/01/2021                                 |   | S <sup>(1)</sup>                        |   | 3,260                            | D             | \$65.03(9)  | 538,814   | D   |  |
| Common Stock                    | 02/01/2021                                 |   | M                                       |   | 60,000                           | Α             | \$22.78     | 598,814   | D   |  |
| Common Stock                    | 02/01/2021                                 |   | <b>S</b> <sup>(1)</sup>                 |   | 11,100                           | D             | \$63.3(10)  | 587,714   | D   |  |
| Common Stock                    | 02/01/2021                                 |   | <b>S</b> <sup>(1)</sup>                 |   | 16,640                           | D             | \$64.1(11)  | 571,074   | D   |  |
| Common Stock                    | 02/01/2021                                 |   | <b>S</b> <sup>(1)</sup>                 |   | 5,475                            | D             | \$65.04(12) | 565,599   | D   |  |
| Common Stock                    | 02/03/2021                                 |   | М                                       |   | 50,000                           | Α             | \$22.78     | 615,599   | D   |  |
| Common Stock                    | 02/03/2021                                 |   | <b>S</b> <sup>(1)</sup>                 |   | 30,998                           | D             | \$72        | 584,601   | D   |  |
| Common Stock                    | 02/03/2021                                 |   | М                                       |   | 67,060                           | Α             | \$13.88     | 651,661   | D   |  |
| Common Stock                    | 02/03/2021                                 |   | S <sup>(1)</sup>                        |   | 36,858                           | D             | \$73        | 614,803   | D   |  |
| Common Stock                    |  |   |   |   |                                  |               |             | 58,415  | I   | By<br>Trust <sup>(13)</sup>                |

|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |   |                              |   |              |         |  |                    |                 |                                     |   |  |  |  |
|---|--|--|---|------------------------------|---|--------------|---------|--|--------------------|-----------------|-------------------------------------|---|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | Derivative I |         | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | of Securities   |                                     | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |  |  |   | Code                         | v | (A)          | (D)     | Date<br>Exercisable  | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Shares |   | Transaction(s)<br>(Instr. 4)   |  |  |
| Stock<br>Option<br>(right to<br>buy)                | \$6.83   | 02/01/2021                                 |   | М                            |   |              | 100,000 | (14)   | 02/02/2026         | Common<br>Stock | 100,000                             | \$0.00  | 125,234  | D  |  |
| Stock<br>Option<br>(right to<br>buy)                | \$13.88  | 02/01/2021                                 |   | М                            |   |              | 10,000  | (15)   | 01/05/2027         | Common<br>Stock | 10,000                              | \$0.00  | 85,000   | D  |  |

|   |   |  | Table II - Deri<br>(e.g.                                    |      |  |     |   | puired, Dis<br>s, options, |  |                 |   | Owned  |  |  |  |
|---|---|--|---|------|--|-----|---|----------------------------|--|-----------------|---|--|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |      | Transaction Derivative Code (Instr. Securities |     | 6. Date Exercisable and Expiration Date (Month/Day/Year)  7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) |                            | of Securities<br>Underlying<br>Derivative Security |                 | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|   |   |  |   | Code | v  | (A) | (D)   | Date<br>Exercisable        | Expiration<br>Date                                 | Title           | Amount<br>or<br>Number<br>of Shares                 |  | (Instr. 4)   |  |  |
| Stock<br>Option<br>(right to<br>buy)                | \$18.295  | 02/01/2021                                 |   | M    |  |     | 30,000  | (16)                       | 12/11/2027   | Common<br>Stock | 30,000  | \$0.00   | 27,500   | D  |  |
| Stock<br>Option<br>(right to<br>buy)                | \$22.78   | 02/01/2021                                 |   | М    |  |     | 60,000  | (17)                       | 01/24/2028   | Common<br>Stock | 60,000  | \$0.00   | 160,000  | D  |  |
| Stock<br>Option<br>(right to<br>buy)                | \$22.78   | 02/03/2021                                 |   | М    |  |     | 50,000  | (18)                       | 01/24/2028   | Common<br>Stock | 50,000  | \$0.00   | 110,000  | D  |  |
| Stock<br>Option<br>(right to<br>buy)                | \$13.88   | 02/03/2021                                 |   | М    |  |     | 67,060  | (19)                       | 01/05/2027   | Common<br>Stock | 67,060  | \$0.00   | 17,940   | D  |  |

## **Explanation of Responses:**

- 1. The sales reported on this Form 4 were effected pursuant to a trading plan adopted pursuant to Rule 10b5-1 under the Securities Exchange Act of 1934, as amended.
- 2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$62.69 to \$63.685, inclusive. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on February 1, 2021 at each separate price.
- 3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$63.69 to \$64.65, inclusive. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on February 1, 2021 at each separate price.
- 4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$64.70 to \$65.48, inclusive. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on February 1, 2021 at each separate price.
- 5. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$62.74 to \$63.545, inclusive. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on February 1, 2021 at each separate price.
- 6. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$63.75 to \$64.65, inclusive. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on February 1, 2021 at each separate price.
- 7. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$64.865 to \$65.09, inclusive. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on February 1, 2021 at each separate price.
- 8. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$62.68 to \$63.67, inclusive. Upon request by the Commission staff, the
- Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on February 1, 2021 at each separate price.

  9. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$64.70 to \$65.37, inclusive. Upon request by the Commission staff, the
- Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on February 1, 2021 at each separate price.

  10. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$62.69 to \$63.68, inclusive. Upon request by the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on February 1, 2021 at each separate price.
- 11. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$63.69 to \$64.66, inclusive. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on February 1, 2021 at each separate price.
- 12. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$64.76 to \$65.40, inclusive. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on February 1, 2021 at each separate price.
- 13. Shares held by the John M. Leonard 2015 Irrevocable Trust.
- 14. The stock option award was issued pursuant to the Intellia Therapeutics, Inc. Amended and Restated 2015 Stock Option and Incentive Plan and has vested in full on February 3, 2020.
- 15. The stock option award was issued pursuant to the Intellia Therapeutics, Inc. Amended and Restated 2015 Stock Option and Incentive Plan and vested in full on January 6, 2021.
- 16. The stock option award was issued pursuant to the Intellia Therapeutics, Inc. Amended and Restated 2015 Stock Option and Incentive Plan and had vested as to 55,885 shares as of February 1, 2021.
- 17. The stock option award was issued pursuant to the Intellia Therapeutics, Inc. Amended and Restated 2015 Stock Option and Incentive Plan and had vested as to 165,000 shares as of February 1, 2021.
- 18. The stock option award was issued pursuant to the Intellia Therapeutics, Inc. Amended and Restated 2015 Stock Option and Incentive Plan and had vested as to 165,000 shares as of February 1, 2021.
- 19. The stock option award was issued pursuant to the Intellia Therapeutics, Inc. Amended and Restated 2015 Stock Option and Incentive Plan and had vested as to 95,000 shares as of February 1, 2021.

## Remarks:

/s/Jose Rivera Attorney-In-Fact 02/03/2021

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.