FORM 3

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

|  |   |                    | 16(a) of the Securities Exchange<br>the Investment Company Act or |  |                                       |   |   |
|--|---|--------------------|---|--|---------------------------------------|---|---|
| Name and Address of Reporting Person*     Novartis Institutes for BioMedical                                     | 2. Date of Eve<br>Requiring Sta<br>(Month/Day/Y | tement             | 3. Issuer Name and Ticker or Intellia Therapeutics                | 0 ,  | .A ]                                  |   |   |
| Research, Inc.   | 05/05/2016                                      |                    | Relationship of Reporting Poly (Check all applicable)             | erson(s) to Issu   |                                       | f Amendment, D<br>nth/Day/Year)             | ate of Original Filed                                       |
| (Last) (First) (Middle) 250 MASSACHUSETTS AVENUE   |   |                    | Director Officer (give title below)                               | X 10% Owne<br>Other (spe<br>below)                       | 6.1                                   | olicable Line)                              | t/Group Filing (Check<br>y One Reporting                    |
| (Street)  CAMBRIDGE MA 02139   |   |                    |   |  | 2                                     | Form filed b<br>Reporting P                 | y More than One<br>erson                                    |
| (City) (State) (Zip)   |   |                    |   |  |                                       |   |   |
|  | Table I - N                                     | on-Deriva          | tive Securities Beneficia   | ally Owned   |                                       |   |   |
| 1. Title of Security (Instr. 4)  |   |                    | 2. Amount of Securities<br>Beneficially Owned (Instr. 4)          | 3. Ownersh<br>Form: Direc<br>or Indirect (<br>(Instr. 5) | t (D) (Insti                          |   | Beneficial Ownership  |
| (6   |   |                    | ve Securities Beneficially ants, options, convertib               |  | s)                                    |   |   |
| 1. Title of Derivative Security (Instr. 4)   | 2. Date Exerc<br>Expiration Da<br>(Month/Day/Y  | ite                | 3. Title and Amount of Secur<br>Underlying Derivative Secur       |  | 4.<br>Conversion                      | Form:                                       | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|  | Date<br>Exercisable                             | Expiration<br>Date | Title   | Amount or<br>Number of<br>Shares                         | Exercise Price of Derivative Security | Direct (D)<br>or Indirect<br>(I) (Instr. 5) |   |
| Series A-1 Preferred Stock   | (1)   | (1)                | Common Stock  | 3,079,001  | (1)                                   | D <sup>(2)</sup>                            |   |
| Series A-2 Preferred Stock   | (1)   | (1)                | Common Stock  | 1,724,240  | (1)                                   | D <sup>(2)</sup>                            |   |
| Series B Preferred Stock   | (1)   | (1)                | Common Stock  | 492,640  | (1)                                   | D <sup>(2)</sup>                            |   |
| Name and Address of Reporting Person*     Novartis Institutes for BioMedical Finc.     (Last) (First) (Middless) |   | _                  |   |  |                                       |   |   |

| (Last)                             | (First)              | (Middle)         |  |
|------------------------------------|----------------------|------------------|--|
| 250 MASSACH                        | USETTS AVENU         | Œ                |  |
| (Street)                           |                      |                  |  |
| CAMBRIDGE                          | MA                   | 02139            |  |
| (City)                             | (State)              | (Zip)            |  |
|                                    |                      |                  |  |
|                                    | ss of Reporting Pers | son <sup>*</sup> |  |
| 1. Name and Addre                  |                      | son*             |  |
|                                    |                      | son* (Middle)    |  |
| NOVARTIS                           | AG (First)           |                  |  |
| NOVARTIS (Last)                    | AG (First)           |                  |  |
| NOVARTIS<br>(Last)<br>LICHTSTRASSI | AG (First)           |                  |  |

## **Explanation of Responses:**

- 1. Each share is convertible into 0.6465903 of a share of Common Stock at any time at the election of the Reporting Person and will automatically convert upon the closing of the Issuer's initial public offering into the number of shares of the Issuer's Common Stock shown in column 3 without payment or further consideration. The shares have no expiration date.
- 2. The shares are held directly by Novartis Institutes for BioMedical Research, Inc. ("NIBRI"). NIBRI is an indirect wholly-owned subsidiary of, and controlled by, Novartis AG.

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/s/ Scott Brown, Vice President and General Counsel

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.